

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dr. C. STEINER et al.

Group Art Unit : Not Yet Assigned

Appln. No: Not Yet Assigned

Examiner : Unknown

Filed : Concurrently Herewith

For : WATER DRAINING DEVICE

jc8441 U.S. PTO  
09/758243  
01/12/01



**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

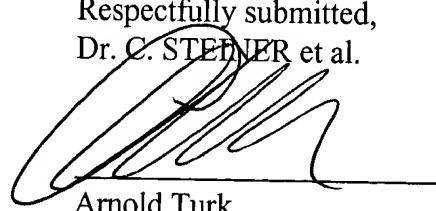
Sir:

The undersigned points out that the executed application being filed herewith includes copies of 3 partially executed Declarations forwarded by facsimile, and pages 1 - 23 and 4 sheets of drawings which were added by the law firm of the undersigned, which are identical to pages 1 - 23 and 4 sheets of drawings forwarded for execution. Further, the undersigned has been advised that the application was physically present when the inventors executed the Declaration.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed, Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(b) and (f).

Should there be any questions or comments, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Dr. C. STEINER et al.



Arnold Turk  
Reg. No. 33,094

January 11, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dr. K. STEINER et al.

Serial No. :Not Yet Assigned

Filed :Concurrently Herewith

For :WATER DRAINING DEVICE

jc841 U.S. PTO  
09/758243  
01/12/01

**CLAIM OF PRIORITY**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 based upon German Application Nos. 200 00 599.5, filed January 14, 2000 and 100 14 873.5, filed March 24, 2000.

Respectfully submitted,  
Dr. K. STEINER et al.

Neil R. Greenblum  
Reg. No. 28,394

1800 33,094

January 12, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

10/21/01  
F20400.101 **UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P20400	Total Pages
Inventor(s) or Applicant Identifier Dr. Karl STEINER, Robert WOLF, and Hans PRINZING		

Title: WATER DRAINING DEVICE

U.S. ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

PTO

APPLICATION ELEMENTS

- Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
  - Small Entity Statement(s)
- Specification [Total Pages 23]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets 4]
- Oath or Declarations(Three) [Total Pages 12]
  - a.  Newly executed (original or copies)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
- Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- Application Data Sheet. See 37 CFR 1.76
- CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies
- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Figure of Drawing to be published 1
- Foreign priority claimed
  - a.  Claim of Priority
  - b.  Certified Copy of Priority Document(s)
- Assignee: Voith Paper Patent GmbH of Heidenheim, GERMANY
- Other: Cover Letter Regarding Executed Application  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

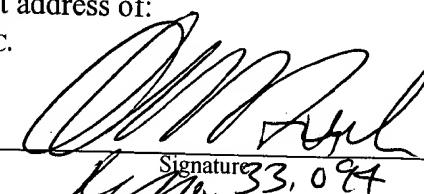
Continuation  Divisional  Continuation-in-part (CIP) of prior Application No.   /  , filed   .  
Prior application information: Examiner:    Group Art Unit:   

21.  Amend the specification by inserting before the first line the sentence:  
This application is a    continuation-in-part,    continuation,    divisional, of Application No.   /  , filed   

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

1/12/01  
Date

  
Signature 33,094  
Neil F. Greenblum, Reg. No. 28,394  
Typed or Printed Name

## FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Dr. K. STEINER et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$1110.00)

Attorney Docket Number P20400

JC841 U.S. TO 09/15/01 12/01 15843

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM &amp; BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

Applicant Claims Small Entity Status See 37 CFR 1.27.

 Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 12/29/99)

## FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 710)

## CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
40	-20=	20	360
Independent 3	-3=	0	0
Claims		80	
Multiple Dependent Claims	x		0

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 360)

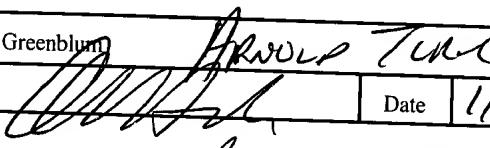
## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR	
113	1,840*	113	1,840*	Prior to Examiner action	
				Requesting publication of SIR after	
115	110	215	55	Examiner action	
116	390	216	195	Extension for response within 1st month	
117	890	217	445	Extension for response within 2nd month	
118	1,390	218	695	Extension for response within 3rd month	
128	1,890	228	945	Extension for response within 4th month	
119	310	219	155	Extension for response within 5th month	
120	310	220	155	Notice of Appeal	
121	270	221	135	Filing a brief in support of an appeal	
138	1,510	138	1,510	Request for oral hearing	
140	110	240	55	Petition to institute a public use proceeding	
141	1,240	241	620	Petition to revive unavoidably abandoned application	
142	1,240	242	620	Petition to revive unintentionally abandoned application	
143	440	243	220	Utility issue fee (or reissue)	
144	600	244	300	Design issue fee	
122	130	122	130	Plant issue fee	
123	50	123	50	Petitions to the Commissioner	
126	240	126	240	Petitions related to provisional applications	
581	40	581	40	Submission of IDS	
146	710	246	355	Recording each patent assignment per property (times number of properties)	
149	710	249	355	Filing a submission after final rejection (37 CFR 1.129(a))	
179	710	279	355	For each additional invention to be examined (37 CFR 1.129(b))	
169	900	169	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	
				Other fee (specify)	
				Other fee (specify)	

SUBTOTAL (3) (\$ \_\_\_\_\_)

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Typed or Printed Name	Neil F. Greenblum	Complete (if applicable)	
Signature		Reg. Number	28,394

Deposit Account User ID

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
Fee Record Sheet

07/13/2001 10:00 AM 00000017 00750013

01 FG600	710.00 OP
02 FG6103	350.00 OP

PTO-1556  
(5/87)